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Attorney's Docket No. N0260/7031 (ERG)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Nigel L. Webb, et al.
Serial No. : 09/265,307
Filing Date : March 9, 1999
For : FATTY ACID-ANTICANCER CONJUGATES AND USES THEREOF
Examiner : not assigned
Art Group : 1646

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Assistant Commissioner for Patents, Washington, D.C. 20231, on the 17th day of August, 1999.


Adele E. Stone

Office of Initial Patent Examination
Customer Service Center
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Transmitted herewith is/are the following document(s):

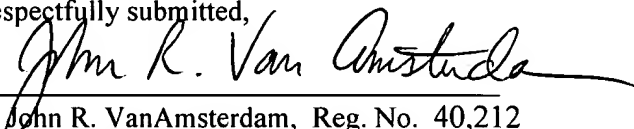
- ☒ Copy of Corrected Filing Receipt with corrections made in red
- ☒ Request for Correction of Filing Receipt
- ☒ Copy of Executed Declaration for Patent Application as filed on July 29, 1999
- ☒ Certificate of Mailing
- ☒ Return Receipt Postcard

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If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617)720-3500, Boston, Massachusetts.

No check is enclosed. If any fee is required, the fee may be charged to the account of the undersigned, Deposit Account No. 23/2825. A duplicate of this sheet is enclosed.

Respectfully submitted,


John R. VanAmsterdam, Reg. No. 40,212
Wolf, Greenfield & Sacks, P.C.
600 Atlantic Avenue
Boston, MA 02210-2211
(617)720-3500

Attorney Docket No: N0260/7031

August 17, 1999

X NDD



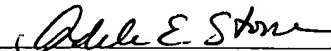
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Office of Initial Patent Examination
Customer Service Center
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

REQUEST FOR CORRECTION OF FILING RECEIPT

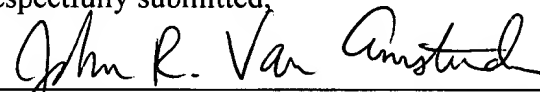
Please add the names of the additional coinventors as follows and as indicated in red on the attached copy of the filing receipt. In addition to Nigel L. Webb, Bryn Mawr, PA please add:

--Matthews O. Bradley, Laytonsville, MD; Forrest Anthony, Villanova, PA; and Mark Fisher, King of Prussia, PA.--

Please note that a Declaration for Patent Application was filed on July 29, 1999 (copy enclosed) which indicates that there are four inventors as listed above.

If you have any questions or need any assistance, please do not hesitate to call.

Respectfully submitted,



John R. VanAmsterdam, Reg. No. 40,212
Wolf, Greenfield & Sacks, P.C.
600 Atlantic Avenue
Boston, MA 02210-2211
(617)720-3500

Attorney Docket No: N0260/7031
August 17, 1999
X NDD

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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
09/265,307	03/09/99	1646	\$814.00	N0260/7031(E	7	118	9

EDWARD R GATES
WOLF GREENFIELD AND SACKS
600 ATLANTIC AVENUE
BOSTON MA 02210

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts of Application" ("Missing Parts Notice") in this application, please submit any corrections to this Filing Receipt with your reply to the "Missing Parts Notice." When the PTO processes the reply to the "Missing Parts Notice," the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s) NIGEL L. WEBB, BRYN MAWR, PA. -- MATTHEWS O. BRADLEY,
LAYTONSVILLE, MD; FORREST ANTHONY, VILLANOVA, PA; AND
MARK FISHER, KING OF PRUSSIA, PA --

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TITLE
FATTY ACID-ANTICANCER CONJUGATES AND USES THEREOF

PRELIMINARY CLASS: 514

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DATA ENTRY BY: GARNETT, SANDRA

TEAM: 06 DATE: 08/06/99

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(See reverse for new important information)



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Nigel L. Webb, et al.
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The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Assistant Commissioner for Patents, Washington, D.C. 20231, on the 29th day of July, 1999.

Edward R. Gates

Attention: "Box Missing Parts"
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Transmitted herewith are the following documents:

- ☒ [X] Copy of Notice to File Missing Parts (PTO-1533)
- ☒ [X] Preliminary Amendment
- ☒ [X] Executed Declaration/Powers of Attorney
- ☒ [X] Executed Declaration Claiming Small Entity Status
- ☒ [X] Petition for a Two Month Extension of Time
- ☒ [X] Check in the amount of \$994.00 is enclosed. (covers basic filing fee, 9 extra independent claims, 2 extra dependent claims, surcharge, two month extension fee)
- ☒ [X] Certificate of Mailing
- ☒ [X] Postcard

If the enclosed fee is incorrect, or there are any additional fees due, please charge Deposit Account No. 23/2825. If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617)720-3500, Boston, Massachusetts. A duplicate of this sheet is enclosed.

Respectfully submitted,

Edward R. Gates, Reg. No. 31,616
Wolf, Greenfield & Sacks, P.C.
600 Atlantic Avenue
Boston, MA 02210-2211
(617)720-3500

Attorney Docket No: N0260/7031
July 29, 1999
X 7/29/99



Attorney Docket No. N0260/7031 (ERG)

DECLARATION FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

FATTY ACID-ANTICANCER CONJUGATES AND USES THEREOF

the specification of which is attached hereto unless the following is checked:

[x] was filed on March 9, 1999, as United States Application No.09/265,307 or PCT
(Include Series Code)
International Application No. _____, bearing attorney docket No. _____,
and was amended on _____ (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119(a)-(d) or §365(b) of any foreign application(s) for patent or inventor's certificate, or section 365(a) of any PCT International application designating at least one country other than the United States listed below and have also identified below any foreign application for patent or inventor's certificate or PCT International application having a filing date before that of the application on which priority is claimed:

Prior Foreign PCT International Application(s) and any priority claims under 35 U.S.C. §§119 and 365(a), (b):

(Number)	(Country-if PCT, so indicate)	(DD/MM/YY Filed)	Priority Claimed
			[] []
			YES NO
(Number)	(Country)	(DD/MM/YY Filed)	[] []
			YES NO
(Number)	(Country)	(DD/MM/YY Filed)	[] []
			YES NO

I hereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional application(s) listed below:

(Application Number)	(filing date)
(Application Number)	(filing date)

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s), or §365(c) of any PCT International application(s) designating the United States of America listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56

which became available between the filing date of the prior application and the national or PCT International filing date of this application:

(Application No.)	(Filing date)	(status-patented, pending, abandoned)
(Application No.)	(Filing date)	(status-patented, pending, abandoned)

PCT International Applications designating the United States:

(PCT Appl. No.)	(U.S. Ser. No.)	(PCT filing date)	(status-patented, pending, abandoned)
-----------------	-----------------	-------------------	---------------------------------------

I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

David Wolf	17,528	Peter C. Lando	34,654	Michael G. Verga	39,410
George L. Greenfield	17,756	Gary S. Engelson	35,128	Robert E. Rigby, Jr.	36,904
Stanley Sacks	19,900	Peter J. Gordon	35,164	Robert A. Skrivansk, Jr.	41,316
Edward F. Perlman	28,105	Randy J. Pritzker	35,986	Robert M. Abrahamson	40,886
Lawrence M. Green	29,384	Richard F. Giunta	36,149	Lesley A. Hamlin	41,054
Steven J. Henry	27,900	Douglas R. Wolf	36,971	Ivan D. Zitkovsky	37,482
Theresa A. Hendricks	30,389	Elizabeth R. Plumer	36,637	Michele J. Young	43,299
Edward R. Gates	31,616	Timothy J. Oyer	36,628	Edward J. Russavage	43,069
William R. McClellan	29,409	John M. Anastasi	37,765	Alan B. Sherr	42,147
Ronald J. Kransdorf	20,004	Helen C. Lockhart	39,248	John C. Gorecki	38,471
M. Lawrence Oliverio	30,915	James M. Hanifin, Jr.	39,213	William G. Goaz	27,787
Charles E. Pfund	17,030	Christopher S. Schultz	37,929	Thomas P. Grodt	41,045
Jason M. Honeyman	31,624	Paul D. Sorkin	39,039	Neil P. Ferraro	39,188
James H. Morris	34,681	John R. Van Amsterdam	40,212	Julie A. Baberman	40,906
		Matthew B. Lowrie	38,228	Lisa E. Winsor	44,405

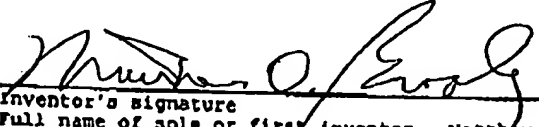
Address all telephone calls to Edward R. Gates at telephone no. (617) 720-3500. Address all correspondence to

Edward R. Gates
c/o Wolf, Greenfield & Sacks, P.C.,
Federal Reserve Plaza
600 Atlantic Avenue
Boston, MA 02210-2211

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Inventor's signature _____ Date _____
Full name of sole or first inventor Nigel L. Webb
Citizenship US
Residence 1101 Green Valley Road, Bryn Mawr, PA 19010

Post Office Address: same as above


Inventor's signature _____
Full name of sole or first inventor Matthew O. Bradley
Citizenship US
Residence 4309 Sundown Road, Laytonsville, MD 20882
Post Office Address: same as above

5/24/99
Date

which became available between the filing date of the prior application and the national or PCT International filing date of this application:

(Application No.)	(filing date)	(status-patented, pending, abandoned)
(Application No.)	(filing date)	(status-patented, pending, abandoned)

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Edward F. Perlman	28,105	Randy J. Pritzker	35,986	Robert M. Abrahamsen	40,886
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Therese A. Hendricks	30,389	Elizabeth R. Plumer	36,637	Michele J. Young	43,299
Edward R. Gates	31,616	Timothy J. Oyer	36,628	Edward J. Rousseau	43,069
William A. McClellan	29,409	John N. Anastasi	37,765	Alan B. Sherr	42,147
Ronald J. Krasendorf	20,004	Helen C. Lockhart	39,248	John C. Gorecki	38,471
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Charles E. Pfund	17,070	Christopher S. Schultz	37,929	Thomas P. Grodt	41,045
Jason M. Honeyman	31,624	Paul D. Sorokin	39,039	Neil P. Ferraro	39,188
James H. Morris	34,681	John R. Van Amsterdam	40,212	Julie A. Beberman	40,906
		Matthew B. Lowrie	38,228	Lisa E. Winsor	44,405

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c/o Wolf, Greenfield & Sacks, P.C.,
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600 Atlantic Avenue
Boston, MA 02210-2211

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J. L. Webb
Inventor's signature Date
Full name of sole or first inventor Nigel L. Webb
Citizenship US
Residence 1101 Green Valley Road, Bryn Mawr, PA 19010
Post Office Address: same as above

MAY 20, 1999

Inventor's signature Date
Full name of sole or first inventor Matthew O. Bradley
Citizenship US
Residence 4309 Sundown Road, Laytonville, MD 20802
Post Office Address: same as above

Forrest H. Anthony MD

July 29, 1993

Inventor's signature

Date

Full name of second or joint inventor Forrest Anthony

Citizenship US

Residence 1426 Farview Road, Villanova, PA 19085

Post Office Address: same as above

Inventor's signature

Date

Full name of second or joint inventor Mark Fisher

Citizenship Australia

Residence 484 Keebler Road, King of Prussia, PA 19406

Post Office Address: same as above

Inventor's signature
Full name of second or joint inventor Forrest Anthony
Citizenship US
Residence 1426 Farview Road, Villanova, PA 19085
Post Office Address: same as above

Date

Mark Fisher

29 JUL 99

Date

Inventor's signature
Full name of second or joint inventor Mark Fisher
Citizenship Australia
Residence 404 Kenbler Road, King of Prussia, PA 19406
Post Office Address: same as above

SERIAL NUMBER 09/265,307	FILING DATE 03/09/99	CLASS 549	GROUP ART UNIT 1612	ATTORNEY DOCKET NO. N0260/7031(E)
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APPLICANT

NIGEL L. WEBB, BRYN MAWR, PA; MATTHEWS O. BRADLEY, LAYTONSVILLE, MD;
FORREST ANTHONY, VILLANOVA, PA; MARK FISHER, KING OF PRUSSIA, PA.

#5

CONTINUING DOMESTIC DATA***
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371 (NAT'L STAGE) DATA***
VERIFIED

no BT

FOREIGN APPLICATIONS***
VERIFIED

no BT

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Foreign Priority claimed 35 USC 119 (a-d) conditions met	<input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> Met after Allowance	STATE OR COUNTRY PA	SHEETS DRAWING 7	TOTAL CLAIMS 118 97	INDEPENDENT CLAIMS 9
Verified and Acknowledged <u>BT</u> Examiner's Initials Initials					

ADDRESS

EDWARD R GATES
WOLF GREENFIELD AND SACKS
600 ATLANTIC AVENUE
BOSTON MA 02210

TITLE

FATTY ACID-ANTICANCER CONJUGATES AND USES THEREOF

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